

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Matthias Loffler, et al.

Application No.: 10/672,976

Group No.:

Filed: 9/26/2003

For: Water-Soluble or Water-Swellable Crosslinked Copolymers

Assistant Commissioner for Patent  
Washington, DC 20231

## INFORMATION DISCLOSURE STATEMENT

## CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10\*

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## 37 C.F.R. section 1.8(a)

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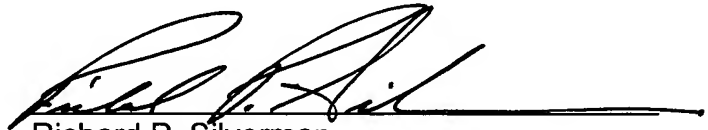
Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☒ EPO Search Report for application no. 00710047, mail date of May 21, 2001.
  - 1d. ☐ Copies of Copending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.


7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following copending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Richard P. Silverman', written over a horizontal line.

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<b>FORM PTO-1449</b> <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>	<b>ATTY. DOCKET NO.</b> 2000DE403/D	<b>SERIAL NO.</b> 10/672,976
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (37 CFR 1.98(b))	<b>APPLICANT:</b> Matthias Loffler, et al.	
	<b>FILING DATE:</b> 9/26/03	<b>GROUP:</b>

## U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,619,773	10/28/86	Heilweil, et al.	252	8.514	
	AB	5,080,809	1/14/92	Stahl, et al.	252	8.554	
	AC	5,510,436	4/23/96	Hille, et al.	526	240	
	AD	6,120,780	9/19/00	Dupuis, et al.	424	401	
	AE	6,355,752	3/12/02	Brungs, et al.	526	287	
	AF						

FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION							
		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
	BA	EP 0 483 638	5/6/92	Europe			See AC Above
	BB	EP 0 510 246	10/28/92	Europe			English
	BC	CA 2,209,060	12/28/97	Canada			English
	BD	EP 0 815 828	1/7/98	Europe			See AD Above
	BE	EP 0 816 403	1/7/98	Europe			See AB Above
	BF	WO 98/00094	1/8/98	WIPO			See AD Above
	BG	DE 199 05 639	8/17/00	Germany			See AE Above
	BH						
	BI						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)

CA		Kosmetische Farbmittel [Cosmetic Colorants] from the Farbstoffkommission der Deutschen Forschungsgemeinschaft [Dyes Commission of the German Research Society], Verlag Chemie, Weinheim, Pages 81-106, 1984
CB		
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>
<b>EXAMINER:</b> Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		